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U.S. SECURITIES AND EXCHANGE COMMISSION JAN 0 4 2007 WASHINGTON, D.C. 20549

FORM 19b-4(e)

07041961

Information Required of a Self-Regulatory Organization Listing and Trading New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

# READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

### Part I

#### **Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: American Stock Exchange LLC.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

  PROCESSEE
- 3. Class of New Derivative Securities Product: Index Fund Shares listed under Amex Rules 1000A et seg.

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4. Name of Underlying Instrument:

#### **Fund**

First Trust Value Line Dividend Index Fund

Underlying Index

Value Line ® Dividend Index

- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow Based: Broad-Based.
- Ticker Symbol(s) of New Derivative Products: FVD
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: For all funds the components securities trade on the American Stock Exchange, New York Stock Exchange, or Nasdaq Stock Market.
- Settlement Methodology of New Derivative Securities Product: Regular-way trades on the American Stock Exchange are settled through the National Securities Clearing Corporation ("NSCC") on T+3. Procedures for creation and redemption of Creation Unit Size Aggregations, including creations and redemptions through the Continuous Net Settlement System of NSCC, are described in the prospectus.
- 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable.

Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Dennis J. Meekins

Title:

Vice President

Telephone Number:

(212) 306-1302

Manual Signature of Official Responsible for Form:

Date:

January 3, 2007

Securities Exchange Act of 1934

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Availability:



Bryan Fischer Managing Director 212.306.2434 T 212.306.5325 F bryan.fischer@amex.com

January 3, 2007

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DIVISION OF MARKET REGULATION

American Stock Exchange 86 Trinity Place New York, NY 10006-1872 T 212 306 1302 F 212 306 5359

BY FACSIMILE AND OVERNIGHT COURIER 202/ 772-9273

Securities and Exchange Commission Division of Market Regulations 100 F. Street NE – Room 6628 Washington DC 20549

Attn: Gail Jackson - Paralegal Specialist

Re:

Form 19b-4(e)

Dear Ms. Jackson:

The American Stock Exchange LLC hereby files Form 19b-4(e), with respect to the First Trust Value Line Dividend Index Fund (FVD) listed pursuant to Amex Rules 1000A et seq.

Sincerely,

Attachment

Act Securities Exchange Act of 1934

Ection 19b-4

Cute 19b-4(c)

Fublic Availability: